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2834

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/698,317
		Filing Date	10/27/2000
		First Named Inventor	Choi et al.
		Group Art Unit	2834
		Examiner Name	Thomas M. Dougherty
Total Number of Pages in This Submission		Attorney Docket Number	PA09-06v02

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 with three (3) references 2. Return Receipt Postcard to Kenneth C. Brooks
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth C. Brooks		
Signature			
Date	12/15/03		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Choi et al.

PATENT APPLICATION

Serial No.: 09/698,317

Group Art Unit: 2834

Filing Date: October 27, 2000 Examiner: Dougherty, Thomas M.

For: HIGH-PRECISION ORIENTATION ALIGNMENT AND GAP CONTROL STAGES
FOR IMPRINT LITHOGRAPHY PROCESSES

INFORMATION DISCLOSURE STATEMENT

Commissioner
for Patents
Alexandria, VA 22313-1450

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56. Form PTO-1449 and a copy of each reference recited below accompanies this document. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

ISSUED PATENTS

<u>Patent Number</u>	<u>Inventor</u>	<u>Grant Date</u>
4,326,805	Feldman et al.	Apr. 27, 1982
4,724,222	Feldman et al.	Feb. 9, 1988

NON-PATENT DOCUMENTS

Feldman et al., "Wafer Chuck for Magnification correction in X-ray Lithography," Journal of Vacuum Science and Technology, Nov/Dec 1998, pp. 3476-3479, vol. B 16(6).

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop PATENT APPLICATION, Alexandria, VA 22313

Signed: *Alexis Sheffield*

Typed Name: Alexis Sheffield

12/16/03

Respectfully Submitted,



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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	09/698,317
				Filing Date	October 27, 2000
				First Named Inventor	Choi et al.
				Group Art Unit	2834
				Examiner Name	Dougherty, Thomas M.
				Attorney Docket Number	UTS-09-06V02
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <p>(use as many sheets as necessary)</p>					
Sheet	1	of	2		

U.S. PATENT DOCUMENTS

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>DEC 22 2003</small> <small>(use as many sheets as necessary)</small>		Application Number	09/698,317
		Filing Date	October 27, 2000
		First Name Inventor	Choi et al.
		Group Art Unit	2834
		Examiner Name	Dougherty, Thomas M.
Sheet	2	of	2
		Attorney Docket Number	UTS-09-06V02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature _____ **Date Considered** _____

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